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for the Substantively Consolidated SIPA Liquidation  
of Bernard L. Madoff Investment Securities LLC  
and for the Estate of Bernard L. Madoff*

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES  
LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard  
L. Madoff Investment Securities LLC,

Plaintiff,

v.

LESLIE AUFZIEN LEVINE, LISA AUFZIEN, ALAN L.  
AUFZIEN, individually and in his capacity as a Joint Tenant,  
NORMA K. AUFZIEN, individually and in her capacity as a

Adv. Pro. No. 10-04483 (SMB)

Joint Tenant,  
MEREDITH AUFZIEN BAUER, and JONATHAN M.  
AUFZIEN,

Defendants.

**STIPULATION AND ORDER FOR VOLUNTARY DISMISSAL  
OF ADVERSARY PROCEEDING WITH PREJUDICE**

Irving H. Picard (the “Trustee”), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, *et seq.*, and the substantively consolidated estate of Bernard L. Madoff individually, by and through his counsel, Baker & Hostetler LLP, and defendants Leslie Aufzien Levine, Lisa Aufzien, Alan L. Aufzien, individually and in his capacity as a Joint Tenant, Norma K. Aufzien, individually and in her capacity as a Joint Tenant, Meredith Aufzien Bauer, and Jonathan M. Aufzien (the “Defendants”), by and through their respective counsel, Loeb & Loeb LLP (collectively, the “Parties”), hereby stipulate and agree to the following:

1. On December 2, 2010 the Trustee commenced this adversary proceeding against the Defendants.
2. As of March 31, 2015 the Parties entered into a settlement agreement pursuant to the Settlement Procedures Order, entered by this Court on November 12, 2010 [Dkt. No. 3181].
3. In accordance with Federal Rule of Bankruptcy Procedure 7041(a)(1)(ii), and Federal Rule of Civil Procedure 41(a)(1), the Parties hereby stipulate to a dismissal with prejudice of the Trustee’s claims against Defendants in the above-captioned adversary proceeding and dismissing the adversary proceeding.
4. The provisions of this Stipulation shall be binding upon and shall inure to the benefit of the Parties and their respective successors and assigns and upon all creditors and parties of interest.

5. This Stipulation may be signed by the Parties in any number of counterparts, each of which when so signed shall be an original, but all of which shall together constitute one and the same instrument. A signed facsimile, photostatic or electronic copy of this Stipulation shall be deemed an original.

Dated: April 28, 2015

**BAKER & HOSTETLER LLP**

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the Liquidation of Bernard L. Madoff Investment  
Securities LLC and Bernard L. Madoff*

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Alan L. Aufzien, individually and in his capacity as  
a Joint Tenant, Norma K. Aufzien, individually and  
in her capacity as a Joint Tenant, Meredith Aufzien  
Bauer, and Jonathan M. Aufzien*

SO ORDERED

Dated: April 28, 2015

/s/ Stuart M. Bernstein  
HONORABLE STUART M. BERNSTEIN  
UNITED STATES BANKRUPTCY JUDGE